



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Case No. 12640US01

PATENT

In the Application of:)

ROBERT CAREY et al.)

Serial No.: 09/480,724)

Filed: January 7, 2000)

For: METHOD OF SELECTING)
SECURITIES FOR A PORTFOLIO)

Examiner: Harish T. Dass)

Group Art Unit: 3628)

Confirmation No.: 4660)

CERTIFICATE OF EXPRESS MAILING

EL 929182114 US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Mail Stop: RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 7, 2005.

By: 

Yufeng Ma

Reg. No. 56,975

AMENDMENT H

MAIL STOP RCE

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

Dear Sir:

Introductory Comments

This paper responds to the final Office Action in the above-entitled application, mailed June 7, 2005, and the Advisory Action mailed September 1, 2005, as part of the Request for Continued Examination (RCE) in compliance with 37 C.F.R. § 1.114. Applicants respectfully request entry (as a matter of right) of the amendments submitted herein. A Petition for Extension of Time (one months) is being filed with this paper, so the due date is being extended one month to October 7, 2005.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 7 of this paper.